



Receipts

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas W. Lanzatella et al.

Title: METHODS, SYSTEMS, AND APPARATUS TO INTERFACE WITH STORAGE OBJECTS

Docket No.: 1557.003US1

Serial No.: 09/997612

Filed: November 29, 2001

Due Date: N/A

Examiner: Unknown

Group Art Unit: 2185

Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- ☒ Communication Re: Incorrect Filing Receipt (1 pg.).
- ☒ Copy of Filing Receipt (2 pgs.).
- ☒ A return postcard.
- ☒ Copy of first page of Application filed November 29, 2001 (1 pg.).

No Additional fee is required.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Thomas J. Brennan  
Name: Thomas F. Brennan  
Reg. No. 35,075  
TFB:CMG:Imp

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this 21<sup>st</sup> day of August, 2003.

LISA POSORSKE  
Name

Lisa Posorske  
Signature



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Thomas W. Lanzatella et al. Examiner: Unknown  
Serial No.: 09/997612 Group Art Unit: 2185  
Filed: November 29, 2001 Docket: 1557.003US1  
Title: METHODS, SYSTEMS, AND APPARATUS TO INTERFACE WITH STORAGE OBJECTS

**COMMUNICATION RE: INCORRECT FILING RECEIPT**

Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. In the Filing Receipt mailed April 4, 2002, (copy enclosed), the title of the application reads: Method, Systems, and Apparatus to Interface with Storage Object; it should read: **Methods, Systems, and Apparatus to Interface with Storage Objects.**

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

Respectfully submitted,

THOMAS W. LANZATELLA ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(513) 942-0224

Date August 21, 2003 By Thomas F. Brennan  
Thomas F. Brennan  
Reg. No. 35,075  
TFB:CMG:imp

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this 21<sup>st</sup> day of August, 2003.

LISA POSORSKE  
Name

Lisa Posorske  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/997,612         | 11/29/2001  | 2185         | 1026          | 1557.003US1     | 4        | 24         | 4          |

CONFIRMATION NO. 7395

## UPDATED FILING RECEIPT



\*0000000007796397\*

Schwegman, Lundberg, Woessner & Kluth, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402

Date Mailed: 04/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas W. Lanzatella, Minneapolis, MN;  
Graham Bromley, Dublin, CA;  
John A. Colgrove, Los Altos, CA;  
Ron Karr, Palo Alto, CA;  
Blaine T. Cuykendall, San Jose, CA;  
Oleg Kiselev, Palo Alto, CA;  
Craig Harmer, San Francisco, CA;  
Allen Unueco, Bellevue, WA;  
Steven Michael Umbehocker, Mercer Island, WA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/18/2001

Projected Publication Date: 05/29/2003

Non-Publication Request: No

Early Publication Request: No

Title

Method, systems, and apparatus to interface with storage object

Preliminary Class  
711

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT APPLICATION

**METHODS, SYSTEMS, AND APPARATUS TO INTERFACE  
WITH STORAGE OBJECTS**

**INVENTORS**

**Thomas W. Lanzatella**

of Roseville, Minnesota

**Graham Bromley**

of Pleasanton, California

**John O. Colgrove**

of Mountain View, California

**Ron Karr**

of Mountain View, California

**Blaine T. Cuykendall**

of Mountain View, California

**Oleg Kiselev**

of Mountain View, California

**Craig Harmer**

of Mountain View, California

**Allen Unueco**

of Redmond, Washington

**Steve Umbehocker**

of Redmond, Washington

**Schwegman, Lundberg, Woessner, & Kluth, P.A.**

1600 TCF Tower

121 South Eighth Street

Minneapolis, Minnesota 55402

**ATTORNEY DOCKET 1557.003US1**